

RCE/1644 \$

PTO/SB/30 (5/2000)

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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/102,865      |
| Filing Date            | June 23, 1998   |
| First Named Inventor   | T. Shantha Raju |
| Group Art Unit         | 1644            |
| Examiner Name          | R. Schwadron    |
| Attorney Docket Number | P1096R1         |

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S-5-01

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

a.  Previously submitted  
 i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
 (Any unentered amendment(s) referred to above will be entered).  
 ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
 iii.  Other \_\_\_\_\_

b.  Enclosed  
 i.  Amendment/Reply  
 ii.  Affidavit(s)/Declaration(s)  
 iii.  Information Disclosure Statement (IDS)  
 iv.  Other \_\_\_\_\_

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**2. Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)  
 b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 07-0630  
 i.  RCE fee required under 37 C.F.R. § 1.17(e)  
 ii.  Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)  
 iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed  
 c.  Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                    |                                   |                |
|-------------------|--------------------|-----------------------------------|----------------|
| Name (Print/Type) | Jeffrey S. Kubinec | Registration No. (Attorney/Agent) | 36,575         |
| Signature         |                    | Date                              | April 24, 2001 |

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

|                   |           |      |                |
|-------------------|-----------|------|----------------|
| Name (Print/Type) | Janet Tse | Date | April 24, 2001 |
| Signature         |           | Date | April 24, 2001 |

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Patent Docket P1096R1

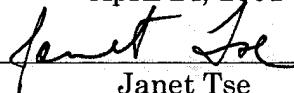
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|   |  |
|---|--|
| In re Application of<br><br>T. Shantha Raju<br><br>Serial No.: 09/102,865<br><br>Filed: June 23, 2001<br><br>For: METHODS AND COMPOSITIONS<br>FOR GALACTOSYLATED<br>GLYCOPROTEINS | Group Art Unit: 1644<br><br>Examiner: R. Schwadron<br><br>MAY 01 2001<br>TECH CENTER 1600/2900 |
|---|--|

CERTIFICATE OF MAILING  
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April 24, 2001

  
Janet Tse

Janet Tse

AMENDMENT TRANSMITTAL

BOX RCE  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below.

|                                       | Claims Remaining After Amendment |   | Highest No. Previously Paid For | Present Extra | Rate    | Additional Fees |
|---------------------------------------|----------------------------------|---|---------------------------------|---------------|---------|-----------------|
| Total                                 | 14                               | - | 20                              | 0             | x 18 =  | \$0.00          |
| Independent                           | 1                                | - | 3                               | 0             | x 80 =  | \$0.00          |
| — Multiple dependent claim(s), if any |                                  |   |                                 |               | + 270 = | \$0.00          |
| Total Fee Calculation                 |                                  |   |                                 |               |         | \$0.00          |

X  
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No additional fee is required.  
Petition for Extension of Time is enclosed.

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
GENENTECH, INC.  
By:   
Jeffrey S. Klinec  
Reg. No. 36,575  
Telephone No. (650) 225-8228

Date: April 24, 2001



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